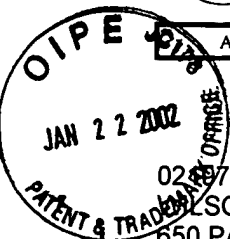




UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/895,786	06/30/2001	Emir Gurur	8003-387

CONFIRMATION NO. 7288

FORMALITIES LETTER



OC000000006386604

02/28/2001
JANSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050

Date Mailed: 08/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1852. 01/30/2002 HBERHE 00000111 232415 09555705

■ \$1692 for 94 total claims over 20.	01 FC:101	740.00 CH
■ \$160 for 2 independent claims over 3.	02 FC:105	130.00 CH
	03 FC:103	1692.00 CH
	04 FC:102	168.00 CH
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2692.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible. (see 37 CFR 1.84(o));

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



01-28-02
M.M

\$Sector

Attorney Docket No. 8003-387

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Emir Gurer, et al.

Application No.: 09/895,786

Filed: June 30, 2001

Title: **Method of Uniformly Coating a Substrate**

) PATENT APPLICATION
)
) Group Art Unit: 1762
)
) Examiner: Not Yet Assigned
)
)
)
)
)

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO MISSING PARTS OF APPLICATION

Dear Sir:

This is in response to the Notice of Missing Parts of Application mailed August 6, 2001. Enclosed herewith is a Transmittal Form, Completion of Filing Requirements, Fee Transmittal, Declaration, Assignment and Recordation Form Cover Sheet, Power of Attorney by Assignee, original Filing Receipt with marked changes, and copy of Notice to File Missing Parts of Application.

We understand from the assignee that one co-inventor, Mr. Robert Mandal, is reluctant. Mr. Mandal is a former employee of the assignee, and evidence of Mr. Mandal's reluctance will be provided as soon as it is available.

The Commissioner is authorized to charge any additional fees which may required, including petition fees, or credit any overpayment to Deposit Account No. 23-2415 (Docket No. 8003-387).

Respectfully submitted,

Date:

1/22/02

By:

[Signature]
John Gilmore

Registration No. 46,375

WILSON SONSINI GOODRICH & ROSATI
650 Page Mill Road
Palo Alto, CA 94304-1505
(650) 493-9300
Customer No. 021971

CERTIFICATE OF MAILING BY EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service as Express Mail (Label No. *977859971445*) in an enveloped addressed to: Commissioner for Patents, Washington, D.C. 20231

Date of Deposit *January 22, 2002*

[Signature]
Cindy Baglietto

Attorney Docket No.: 8003-387

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<div style="border: 2px solid black; border-radius: 50%; width: 100px; height: 100px; margin: 0 auto; display: flex; align-items: center; justify-content: center;"> <div style="text-align: center;"> <p>PTO</p> <p>FEE TRANSMITTAL</p> <p>JAN 22 2002 for FY 2001</p> <p><small>Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</small></p> </div> </div>		Complete if Known	
Application Number		09/895,786	
Filing Date		June 30, 2001	
First Named Inventor		Emir Gurur	
Examiner Name		Not Yet Assigned	
Group/Art Unit		1762	
Attorney Docket Number		8003-387	
TOTAL AMOUNT OF PAYMENT		(\$) 4,235.00	

METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)																																																																																																																																																																																										
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number 23-2415 (Docket No. 8003-387)</p> <p>Deposit Account Name Wilson Sonsini Goodrich & Rosati</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p>2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>					<p>3. ADDITIONAL FEES</p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>130.00</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td>1,440.00</td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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SUBMITTED BY				Complete (if applicable)			
Name (Print/Type)		John O. Gilmore		Registration No. (Attorney/Agent)		46,375	
Signature				Date		1/22/02	
				Telephone		650-493-9300	
				Customer No.		021971	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

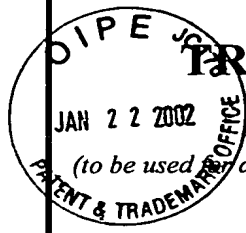
Please type a plus sign (+) inside this box →



PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

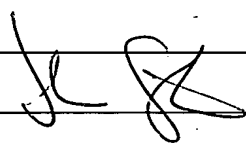
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

 TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/895,786
		Filing Date	June 30, 2001
		First Named Inventor	Emir Gurur
		Group/Art Unit	1762
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission		Attorney Docket Number	8003-387


ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (9 pages) (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney by Assignee <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Declaration (9 pgs.) Notice to File Missing Parts of Application (1 pg.) Copy of Original Filing Receipt with marked changes (1 pg.)
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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	John Gilmore, Reg. No. 46,375, WILSON SONSINI GOODRICH & ROSATI		
Signature			
Date	1/22/02		

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (Express Mail Certificate No. EL778599714US) in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <u>January 22, 2002</u>			
Typed or printed name	Cindy Baglietto		
Signature		Date	1/22/2002

Burden Hour Statement: This form is estimated to take 0.21 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

JAN 22 2002

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Emir Gurur, et al.

Group No.: 1762

Serial No.: 09/096,188

Examiner: Not Yet Assigned

Filed: June 30, 2001

For: Method of Uniformly Coating a Substrate

Commissioner for Patents
Washington, D.C. 20231**COMPLETION OF FILING REQUIREMENTS
—NONPROVISIONAL APPLICATION**

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed August 6, 2001. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH AND ASSIGNMENT

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application. Also enclosed is an Assignment for this application.

POWER OF ATTORNEY

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$740.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$1,692.00
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$168.00
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$130.00
5. Assignment (See "ASSIGNMENT COVER SHEET").	\$40.00
Total completion fees	\$2,770.00

EXTENSION OF TIME

- IV. Applicant respectfully requests a Four Month Extensions of Time in order to respond to the Notice to File Missing Parts of Nonprovisional Application. Fee Due: \$ 1,440.00

REQUEST FOR CORRECTED FILING RECEIPT

- V. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in	Correct data
1. Applicant name and/or addresses	- Emir Gurur, Scotts Valley, CA; Tom Zhong, Sunnyvale, CA; John Lewellen, San Jose, CA; Edward C. Lee, Cupertino, CA; Robert P. Mandal, Saratoga, CA; James C. Grambow, San Jose, CA; Ted C. Bettles, Cincinnati, Ohio; Donald R. Sauer, San Jose, CA; Edmond R. Ward, Monte Sereno, CA.

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

TOTAL FEE DUE

- VI. The total fee due is: Completion fees \$2,770.00, Corrected Filing Receipt Fees \$25.00, Extension of Time Fee \$1,440.00

TOTAL FEE DUE \$ 4,235.00**PAYMENT OF FEES**

- VII. Charge Account No. 23-2415 (8003-387) in the amount of \$ 4,235.00.
Please charge Account No. 23-2415 (8003-387) for any fees that may be due by this paper.


John Gilmore, Reg. No. 46,375

Wilson Sonsini Goodrich & Rosati
650 Page Mill Road
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(650) 493-9300
Customer No. 021971

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 Page 1 of 3
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WILSON, SONSINI, GOODRICH & ROSATI

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/895,786	06/30/2001	1762	0.00	8003-387	13	114	5

JAN 22 2002

CONFIRMATION NO. 7288

3021971

WILSON, SONSINI, GOODRICH & ROSATI

650 PAGE MILL ROAD

PALO ALTO, CA 943041050

FILING RECEIPT



OC000000006386603

DOCKETED

Date Mailed: 08/06/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Emir Gurer, Residence Not Provided; Scotts Valley, CA;
 Tom Zhong, Residence Not Provided; Sunnyvale, CA;
 John Lewellen, Residence Not Provided; San Jose, CA;
 Eddie Lee, Residence Not Provided; Edward C. Lee, Cupertino, CA,
 Robert P. Mandal, Residence Not Provided; Saratoga, CA;
 James C. Grambow, Residence Not Provided; San Jose, CA;
 Ted C. Betts, Residence Not Provided; Ted C. Betts, Cincinnati, Ohio,
 Donald R. Sauer, Residence Not Provided; San Jose, CA;
 Edmond R. Ward, Residence Not Provided; Monte Selena, CA.

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/795,924 02/28/2001
 WHICH IS A CIP OF 09/745,611 12/20/2000
 WHICH CLAIMS BENEFIT OF 60/185,818 02/29/2000
 THIS APPLICATION 09/895,786
 IS A CIP OF 09/391,964 09/08/1999 PAT 6,238,735
 WHICH IS A CON OF 08/876,273 06/16/1997 PAT 5,954,878
 WHICH IS A DIV OF 08/566,227 12/01/1995 PAT 5,670,210
 WHICH IS A CIP OF 08/330,045 10/27/1994 ABN

Foreign Applications

If Required, Foreign Filing License Granted 08/03/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Method of uniformly coating a substrate

Preliminary Class

427

Data entry by : ASGEDOM, GENET

Team : OIPE

Date: 08/06/2001

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
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